


<b>Effective Date</b>		<b>Date of Revision</b>			<b>Policies, Rules And Regulations</b>
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**UNIVERSITY POLICY STATEMENT:**

Eastern Michigan University recognizes that academic institutions have the responsibility to set standards for ethical and honorable behavior in the conduct of research and scholarly pursuits. These standards insure that the scholarly activity of the University's faculty, research staff, and students will be conducted with integrity and openness. It is the policy of the University to foster an intellectual and academic environment in which exemplary scholarship and ethical practice may flourish.

Misconduct and fraud in academic activity seriously undermines the scientific enterprise. The University will act to ensure that allegations of misconduct are investigated promptly, thoroughly, and fairly. If the allegations are substantiated, the University will apply appropriate sanctions and correct any public record or report of the work.

**UNIVERSITY PRACTICE :**

University practices for implementing this policy include:

1. It is important to distinguish clearly scientific misconduct from honest error or from ambiguities which might be resolved by further investigation. Scientific misconduct does not include honest error or difference in interpretation and judgment of data. Scientific misconduct shall be defined as including, but not limited to:
  - A. the falsification, fabrication, or misrepresentation of data, including deceptive reporting, or omission of conflicting data with the intent of falsifying results;
  - B. plagiarism or misrepresentation of others' work as one's own;
  - C. failure to assign authorship appropriately on any manuscript, report, project, publication, or electronic communication;
  - D. deliberate violation of a University policy or standard procedure in research, including but not limited to the policies on the use of human subjects and the use and care of animals;
  - E. abuse of confidentiality in peer, editorial, or regulatory review: or use or disclosure of privileged information, such as misappropriating ideas from others I grant proposals, award applications, or manuscripts; or inappropriately using other privileged communications;
  - F. other practices that seriously deviate from those that are commonly accepted within the academic and scientific community for proposing, conducting, and reporting research.

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2. Allegations of scientific misconduct will be subject to the procedures of inquiry and formal investigation specified in the Public Health service Final Rule 42 CFR, Part 50.

The University reserves the right to address other forms of fraudulent academic activity separately and exclusive of the Scientific Integrity and Misconduct policy and practices related thereto.

3. Together, the responsibilities of the Provost, the designated senior administrator, and the University Committee on Scientific Integrity and Misconduct are to ensure the following:
  - A. There is an impartial process for the receipt of allegations of scientific misconduct and for initiating immediate inquiry into each allegation, to be completed within 60 days of the allegation;
  - B. if findings of the inquiry provide sufficient basis, that a formal investigation be initiated within 30 days of completion of the inquiry;
  - C. when appropriate, impartial experts are called upon to assist in conducting inquiries and investigations, and that precautions are taken against real or apparent conflicts of interest;
  - D. affected individuals are afforded confidential treatment to the maximum extent possible, are given a prompt and thorough investigation, and are allowed an opportunity to comment on the allegations and findings of the inquiry and/or investigation;
  - E. the positions and reputations of persons, who, in good faith, make allegations of scientific misconduct, are protected to the maximum extent possible, as well as those against whom allegations of misconduct are not confirmed;
  - F. appropriate interim administrative actions are taken to protect federal funds and ensure that the purposes of federal financial assistance are being carried out;
  - G. efforts are made to restore the reputations of persons alleged to have been engaged in misconduct when allegations are not confirmed;
  - H. appropriate sanctions are imposed on individuals when allegations of misconduct are substantiated; these sanctions may include, but are not limited to, a letter of reprimand, removal from a project, probation, suspension, or termination of employment, depending on the severity of the situation; and
  - I. in cases where scientific research has been misrepresented, appropriate actions are taken to correct the public record.
4. A finding of scientific misconduct by the University shall constitute serious misconduct and failure of a faculty or staff person to meet their professional responsibilities.

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#### **RESPONSIBILITY FOR IMPLEMENTATION:**

The implementation and administration of this policy is the responsibility of the Provost and vice President for Academic Affairs. The Provost shall appoint a senior administrator who will be responsible for the implementation of this policy and its compliance with present and future federal regulations on scientific misconduct.

The Provost will appoint a committee to advise and assist the administrator. The committee shall be representative of collegiate concentrations. The committee will assist the administrator with the implementation of policy, the preparation of educational literature, the development of administrative procedures, and the conduct of inquiries and investigations when deemed appropriate.

#### **SCOPE OF POLICY COVERAGE:**

This policy applies to the conduct of scholarly activity and research performed by University faculty, staff, and students, including all research and scholarly activity conducted under the auspices of the University, whether funded or unfunded; all scholarly or research activity conducted on University premises or using University facilities and materials; all work published in which a University affiliation is stated or implied, as for example, when a University address is listed for requesting reprints of a publication; or other research or scholarly activity which is directly related to the fulfillment of one's employment responsibilities at the University, such as professional activities taken into consideration for faculty promotion.

The scope of this policy includes student theses. It also includes the work of students when they are acting as paid or volunteer workers for the University. It excludes students' work when done as part of a course assignment.

#### **Authority for Creation or Revision**

Minutes of the Board of Regents, September 25, 1990: para. .4355M.