1 ARTICLE VII. GRIEVANCE PROCEDURE

2 A. Scope

- Nothing in this Article VII shall prevent informal adjustment of any complaint and the parties
 intend that, so far as reasonably possible, such complaints will be resolved between the
 Faculty Member and the administrative agent of EMU immediately involved.
- 6 A grievance is defined as a written allegation that there has been a breach, misinterpretation, 7 improper application, or failure to act pursuant to this Agreement.
- 8 Except as otherwise specifically provided in this Agreement, any grievance of a Faculty 9 Member or group of Faculty Members shall be adjusted as stated in this Article VII.
- 10 It is hereby agreed between EMU and the EMU-AAUP that the personal information
- 11 contained or disclosed in a grievance is considered confidential. The University agrees to
- 12 honor this confidentiality in all steps during and after the process of the grievance resolution.
- 13 In particular, the University agrees that it is improper for administrators to disclose any
- 14 information about a faculty grievance, or the grievance itself, to anyone who is not
- 15 contractually part of said grievance and/or its resolution process. The University further
- agrees that it is improper to disclose grievance information to persons who do not otherwise
 have a need to know in order to process or administer the grievance.

18 **B.** Construction

- Adjustment of a grievance at any step shall be reduced to writing in a standard format that references or specifies the grievance filing number, a detailed description of the grievance resolution, signature lines for all parties (or their representatives) involved, and date of signatures. The resolution of a grievance shall not add to, subtract from or modify the terms of this Agreement, or serve as a precedent in the future interpretation or application of the terms of said Agreement, unless done so in writing and approved by EMU's Assistant Vice President for Academic Affairs and the Association's President, or their respective designees.
- Any such agreement reached between the Association, EMU shall be binding on the Association, EMU and Faculty Members.
- In computing any time limit specified under this Article VII, Saturdays, Sundays, holidays,
 Christmas/New Year Season Days, Winter Recess, Spring Recess and Act of God days on
- 30 which the University is officially closed for business, will be excluded.

31 C. Basic Provisions

 Any individual Faculty Member or group of Faculty Members may at any time present informal complaints to EMU and have said complaints adjusted without intervention of the Association, provided the adjustment is not inconsistent with the terms of this Agreement.

- 36 2. The Association's Grievance Officer and EMU's Assistant Vice President for Academic 37 Affairs shall be provided with a copy of all written grievances, grievance adjustments, 38 grievance withdrawals, grievance denials, notices of appeal, notices of extension, notices 39 of filing of objections, and all other correspondence exchanged between the Association's 40 and EMU's representatives pursuant to the processing of grievances as herein provided. 41 Said copies shall be provided concurrently with the transmittal of the original 42 correspondence exchanged between the parties' representatives.
- 43 3. No Faculty Member or group of Faculty Members, other than the Association, shall have 44 the right to initiate an arbitration proceeding hereunder.
- 45 4. At the third step of the grievance procedure and at arbitration hearings, the grievant(s) shall have the right to have legal counsel present at his/her (their) own expense. 46
- 5. Failure to initiate any grievance within the time limits specified herein on the part of the 47 48 Association or the grievant(s) shall bar further processing of the grievance. Failure to 49 appeal any grievance within the time limits specified herein on the part of the Association 50 or the grievant(s) shall cause the grievance to be resolved on the basis of the last 51 administrative decision concerning the matter(s) at issue and bar further processing of the 52 grievance. Unless extended by mutual consent of the parties' representatives at the respective steps of the grievance procedure, the time limits specified herein shall be the 53 54 maximum time allowed. Failure to comply with the time limits on the part of any 55 administrative agent shall permit the grievance to proceed to the next step.
- 56 6. A Faculty Member who participates in the grievance procedure shall not be subject to 57 discipline or reprisal because of such participation.

58 **D.** Procedure and Time Limits: Initiation

59 Either a Faculty Member or group of Faculty Members may initiate a grievance by serving signed written notice of it at Step One to the Department Head or other designated 60 61 administrative agent. Such notice shall concisely state the facts upon which the grievance is based, the provisions of the Agreement which have been violated, and specify the relief and 62 63 remedy sought. Notice shall be filed within twenty (20) working days after the Association 64 or the Faculty Member(s) on whose behalf the grievance is filed became aware, or 65 reasonably should have become aware, of the action complained of. If no notice is served in that time, the grievance is barred. In no event will monetary adjustment of a grievance cover 66 67 a period prior to ninety (90) working days before filing of written notice of the grievance.

- 68 Except as otherwise stipulated in this Agreement, a grievance may bypass Step One and be 69 initiated at Step Two, provided that neither the Assistant Vice President for Academic Affairs 70 nor the Association's Grievance Officer, or their respective designees, serve notice to the other party of an objection to bypassing Step One. Further, a grievance may bypass Step 71 72 Two and be initiated at Step Three, provided that neither the Assistant Vice President for 73 Academic Affairs nor the Association's Grievance Officer, or their respective designees, 74
- serve notice to the other party of an objection to bypassing Step Two.

75 E. Procedure and Time Limits: Step One

76 Upon receipt of the written grievance, a copy of which shall be provided by the EMU-AAUP to the Assistant Vice President for Academic Affairs on the day it is filed, the Department 77 78 Head or other designated administrative agent shall promptly arrange a meeting through the 79 EMU-AAUP office to discuss the grievance with the grievant(s), the Association's Grievance 80 Officer and, at the Grievance Officer's option, one additional person deemed necessary for 81 the resolution., and such other persons as he/she deems appropriate. The Department Head 82 or other designated administrative agent may bring , and such other persons as he/she deemeds appropriate. If at the time of the meeting, the grievant(s) is on an approved leave or 83 84 during summer term, the grievant(s) may appear via remote means. This discussion shall be 85 completed within seven (7) working days after the required initiation notice is filed. If the 86 grievance is adjusted at this Step to the satisfaction of the grievant(s), the Association's 87 Grievance Officer and the Department Head, or other designated administrative agent, the 88 adjustment will be reduced to writing, signed by the parties, and a copy provided to each 89 signatory, the EMU-AAUP office and the Assistant Vice President for Academic Affairs. If 90 there is no adjustment, the Department Head, or other designated administrative agent, must 91 present his/her reasons for denial of the grievance in writing to the grievant(s) with a copy to 92 the EMU-AAUP Office and the Assistant Vice President for Academic Affairs. The 93 Department Head or administrative agent shall reduce the adjustment to writing or provide 94 the reasons for denial of the grievance in writing to the grievant(s) within five (5) working 95 days following the Step One meeting. If within five (5) working days of receipt thereof, 96 EMU's Assistant Vice President for Academic Affairs or his/her designee, serves the 97 Association's Grievance Officer with written notice of objection to the adjustment on the 98 grounds that the adjustment adds to, subtracts from, or modifies the terms of this Agreement, 99 said adjustment shall be deemed null and void and the grievance remanded for further review 100 at Step One. Within seven (7) working days of notice of remand, the parties' Step One 101 representatives and the grievant(s) shall complete the review. The grievance shall thereafter be further processed, adjusted or appealed within the timelines and procedures set forth in 102 103 this Grievance Procedure.

104 F. Procedure and Time Limits: Step Two

105 If the grievance is not adjusted at Step One, the Faculty Member or group of Faculty 106 Members or the Association may, within five (5) working days of the Step One answer, 107 which shall also be concurrently provided to the Assistant Vice President for Academic 108 Affairs, appeal the grievance, in writing, to the appropriate Dean or other designated 109 administrative agent, setting forth his/her (their) objections to the Step One answer. Upon receipt of the written appeal or at the signed written notice when initiation is at Step Two, the 110 111 Dean or other designated administrative agent shall promptly arrange a meeting through the EMU-AAUP office to discuss the grievance with the grievant(s), the Association's Grievance 112 113 Officer and, at the Grievance Officer's option, one additional person they deem necessary for 114 the resolution., and such other persons as he/she deems appropriate. The Dean or other 115 designated administrative agent may bring other persons as they deem appropriate. If at the time of the meeting, the grievant(s) is on an approved leave or during summer term, the 116

117 grievant(s) may appear via remote means. It is not appropriate at this level for the grieved 118 department head or other grieved administrative agent involved at the Step One grievance 119 hearing to be present at a Step Two grievance hearing. This discussion shall be completed 120 within seven (7) working days after the filing of the grievance at Step Two. If the grievance is adjusted at this Step to the satisfaction of the grievant(s), the Association's Grievance 121 122 Officer and the Dean or other designated administrative agent, the adjustment will be reduced 123 to writing, signed by the parties, and a copy provided to each signatory, the EMU-AAUP 124 office and the Assistant Vice President for Academic Affairs. If there is not adjustment, the 125 Dean or other designated administrative agent must present his/her reasons in writing to the 126 grievant(s) with a copy to the EMU-AAUP office and the Assistant Vice President for Academic Affairs. The Dean or administrative agent shall reduce the adjustment to writing 127 or provide the reasons for denial of the grievance in writing to the grievant(s) within five (5) 128 129 working days following the Step Two meeting. If, within five (5) working days of receipt 130 thereof, EMU's Assistant Vice President for Academic Affairs, or his/her designee, serves the Association's Grievance Officer with written notice of objection to the adjustment on the 131 132 grounds that the adjustment adds to, subtracts from, or modifies the terms of this agreement, 133 said adjustment shall be deemed null and void and the grievance remanded for further review 134 at Step Two. Within seven (7) working days of notice of remand, the parties' Step Two 135 representatives and the grievant(s) shall complete their review. The grievance shall 136 thereafter be processed, adjusted or appealed within the timelines and procedures as set forth in this Grievance Procedure. 137

138 G. Procedure and Time Limits: Step Three (Review Board)

139 If the grievance is not adjusted at Step Two, the Faculty Member or group of Faculty

Members, or the Association may, within five (5) working days of the Step Two written answer, appeal the grievance, in writing, through the Office of the Assistant Vice President for Academic Affairs, to Step Three, setting forth his/her (their) objections to the Step Two answer.

- 144 At Step Three, the Review Board, shall consist of not more than four (4) persons designated
- by EMU, one of whom shall be the Assistant Vice President for Academic Affairs, and an
- equal number of persons designated by the Association, excluding the grievant(s), grieved
- 147 Department Head, Dean or other grieved administrative agent(s) involved in the previous
- 148 Step One or Step Two grievance hearings as Review Board members.
- 149The Review Board shall promptly arrange a meeting to discuss the grievance and the written150answers and appeals, or the signed written notice when initiation is at Step Three, with the
- 151 grievant(s) and such other persons as the Review Board deems appropriate.
- 152 If the grievance is initiated at Step Three, all meetings will be scheduled within twenty (20)153 working days to complete the discussion.
- 154 If the grievance was not adjusted at Step Two and is appealed to Step Three, all meetings will 155 be scheduled within fifteen (15) working days to complete the discussion.

- 156 If the grievance can be adjusted, within the fifteen (15) or twenty (20) day discussion period,
- 157 to the mutual satisfaction of the Association and EMU, the adjustment will be reduced to
- 158 writing and signed by the Association's President and EMU's Assistant Vice President for
- 159 Academic Affairs, or their respective designee and communicated by the Assistant Vice
- 160 President for Academic Affairs or his/her designee to the grievant(s), with a copy to the
- Association. If the grievance is adjusted at Step Three, said adjustment shall be final and hinding upon all parties
- 162 binding upon all parties.
- 163 If there is not an adjustment, within the fifteen (15) or twenty (20) day discussion period, the 164 Assistant Vice President for Academic Affairs must present his/her reasons in writing to the 165 grievant(s) with a copy to the Association.

166 H. Procedure and Time Limits: Arbitration

If the grievance is not adjusted at Step Three, the Association may submit the grievance to 167 final binding arbitration. Within ten (10) working days of receipt of the Review Board 168 disposition of the grievance at Step Three, or within ten (10) working days after the Board 169 has concluded its consideration of the grievance if no disposition is forthcoming, the 170 171 Association shall notify the Office of the Assistant Vice President for Academic Affairs, of 172 its intention to submit the dispute to arbitration and the Assistant Vice President for 173 Academic Affairs, and the Association shall meet for the purpose of selecting a neutral 174 person to arbitrate the dispute. In the event the parties are unable to agree upon the selection 175 of a neutral person, the selection shall be made in accordance with the procedural rules of the American Arbitration Association. Submission to the American Arbitration Association 176 177 shall be written, with simultaneous written notice to EMU, and if not filed and noticed within 178 thirty (30) calendar days after the receipt of the Review Board disposition, or thirty (30) 179 calendar days after the Board has concluded its consideration of the Grievance if no 180 disposition is forthcoming, the grievance shall be barred. An Arbitration requested hereunder 181 may be conducted under the Expedited Labor Arbitration procedures of the American 182 Arbitration Association, if the procedure is agreed upon by both EMU and the Association. If the parties do not agree on the expedited procedure, then the grievance will be arbitrated 183 184 under the then current voluntary labor arbitration rules of the American Arbitration Association through its conventional process. All arbitration proceedings initiated hereunder 185 shall be subject to the terms and conditions set forth in Article VII.I. in this Agreement. 186

187 I. Procedure and Time Limits: Arbitrator's Decision and Award

188 The arbitrator shall have no power to add to, subtract from, or modify the terms of this Agreement, nor shall he/she exercise any responsibility or function of EMU or the 189 190 Association. This is not intended to restrict the authority of the arbitrator to the determination of issues of procedural compliance only, and he/she shall have authority to 191 192 determine substantive questions properly presented in accordance with the terms of the 193 Grievance Procedure. The decision of the arbitrator shall be final and binding on both parties 194 and may be enforced in any court of competent jurisdiction. The parties to this Agreement 195 shall bear their own expenses individually and share the arbitrator's fee and expenses 196 equally.

Tentative Agreement

James P. Greene EMU Chief Negotiator

Date

Matthew Kirkpatrick AAUP Chief Negotiator

Date